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## Dissecting Fc $\gamma$ R Regulation Through a Multivalent Binding Model

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### Abstract

Many immune receptors transduce activation across the plasma membrane through their clustering. With Fc $\gamma$  receptors, this clustering is driven by binding to antibodies of differing affinities that are in turn bound to multivalent antigen. As a consequence of this activation mechanism, accounting for and rationally manipulating IgG effector function is complicated by, among other factors, differing affinities between Fc $\gamma$ R species and changes in the valency of antigen binding. In this study, we show that a model of multivalent receptor-ligand binding can effectively account for the contribution of IgG-Fc $\gamma$ R affinity and immune complex valency. This model in turn enables us to make specific predictions about the effect of immune complexes of defined composition. In total, these results enable both rational immune complex design for a desired IgG effector function and the deconvolution of effector function by immune complexes.

### eTOC Blurp

Robinett et al. show that a multivalent binding model can predict antibody binding to immune effector cells. By using this model with measurements of the Fc $\gamma$ R combinations expressed across effector populations, they are able to predict the outcome of tumor-targeted antibodies and identify

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#### Author Contributions

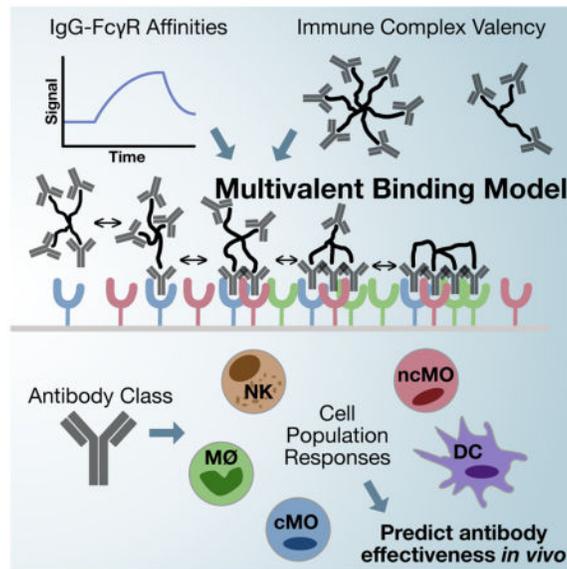
A.S.M. and F.N. conceived the experiment(s), A.S.M., R.A.R., M.B., N.G., and A.L. conducted the experiments and analyzed the results. All authors reviewed the manuscript.

#### Declaration of Interests

The authors declare no competing financial interests.

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the essential innate cell types. These results inform the further rational design of therapeutic antibodies and potentially antibody combinations.



## Introduction

Antibodies are critical and central regulators of the immune response. Antibodies of the IgG isotype interact with Fc $\gamma$ R receptors expressed widely on innate immune effector cells. IgGs transduce effector function through multiple cell types—including macrophages, monocytes, neutrophils, and NK cells—and through multiple processes, including promoting antibody-dependent cell-mediated cytotoxicity (ADCC), antigen presentation, and cytokine response. IgG immunotherapies, operating through regulating effector cell function, have been used in the treatment of both cancer and autoimmune diseases. In cancer treatment, IgG therapies can show a synergistic effect when used in combination with checkpoint or cytokine-mediated immunotherapies (Moynihan et al., 2016; Zhu et al., 2015). These biologic agents are particularly versatile therapeutic agents on account of their immunotherapeutic effects and their ability to operate directly through antigen binding and opsonization.

The ability to quantitatively predict Fc $\gamma$ R-IgG function would aid the understanding and treatment of cancer, autoimmune diseases, and infectious diseases. Efforts to engineer IgG treatments with improved effector response have included designing Fc variants with biased Fc $\gamma$ R binding, deglycosylating Fc domains (with the effect of modulating Fc $\gamma$ R binding), and utilizing alternative IgG subclasses with distinct binding profiles (Mimoto et al., 2013; Shields et al., 2002). In cases where antigen and antibody are exogenously provided, binding valency and affinity may be manipulated coordinately in a controlled manner (Ortiz et al., 2016). With a better understanding of the underlying regulation, endogenous humoral responses might similarly be modulated through adjuvant engineering (Chung et al., 2015).

Previous efforts have sought to improve our understanding of IgG-mediated effector function. These include efforts to carefully quantify the individual, monovalent Fc $\gamma$ R-IgG

interaction affinities (Bruhns et al., 2009; Gavin et al., 1998; Guilliams et al., 2014). Others have characterized the effects of IgG glycosylation (which serves to modulate Fc $\gamma$ R affinity) and immune complex (IC) valency on the binding of IgG-antigen complexes (Lux et al., 2013; Ortiz et al., 2016). Genetic models have made it possible to remove certain Fc $\gamma$ Rs and examine the consequent effect on IgG treatment, including in the treatment of various cancers (Bournazos et al., 2014; Clynes et al., 2000; Nimmerjahn and Ravetch, 2005). By comparing antibodies of matched variable region but differing Fc domains, one can evaluate the influence of effector function, though with necessarily pleiotropic effects on binding to each Fc $\gamma$ R class (Bournazos et al., 2014; Nimmerjahn and Ravetch, 2005).

Models of multivalent ligand binding to monovalent receptors have been successfully employed to study the function of other immune receptors with corresponding binding models (Hlavacek et al., 1999a, Perelson:1981iii; Perelson, 1980; Perelson and DeLisi, 1980). For example, a two-component binding model can capture the effect of T cell receptor activation or Fc $\epsilon$ RI binding (Hlavacek et al., 1999b; Stone et al., 2001). However, unlike these cases, distinct members of the Fc $\gamma$ R family can be simultaneously expressed within most cells. Additionally, the multiple Fc $\gamma$ Rs present, with activating and inhibitory roles, ensure that any manipulation of IC composition will necessarily have multivariate effects. Thus, while the underlying theoretical models of multivalent binding are long-standing, Fc $\gamma$ R-IgG interactions are especially suited for developments in inference approaches to rigorously link these models to experimental observations (Foreman-Mackey et al., 2013; Graham and Storkey, 2016; Wingate et al., 2011).

In this study, we have employed a model of multivalent IC binding to Fc $\gamma$ Rs and show that it can capture experimentally measured binding at differing valencies. Applying this model, we show it can quantitatively predict effector response to diverse interventions in a forward manner and can deconvolve the causal factors of response in a reverse fashion. More broadly, these results demonstrate the abilities of both a unified binding model and computational inference techniques to link theory and experimental observation.

## Results

### IgG-Fc $\gamma$ R binding varies with affinity and valency

Building upon earlier work in which IC valency was shown to alter human Fc $\gamma$ R-IgG binding, we wished to examine the influence of IC valency and IgG composition on the binding and activation of different human Fc $\gamma$ Rs (hFc $\gamma$ Rs) (Lux et al., 2013). We assessed the binding of ICs presenting one of four human IgG (hIgG) subclasses to cells expressing one of six hFc $\gamma$ R subclasses at a single IC concentration. To do so, we utilized a panel of CHO cell lines, each stably expressing a single hFc $\gamma$ R species, and two populations of IC, with average valencies of four and 26, assembled by covalently attaching 2,4,6-trinitrophenol (TNP) to bovine serum albumin (BSA; see Methods). Anti-TNP antibodies of differing hIgG subclass were bound to the BSA complexes before treatment. The measured binding and variation in binding with valency recapitulated that measured before, with variation as a function of hIgG subclass, hFc $\gamma$ R, and valency (Lux et al., 2013) (Fig. 1A).

We quantitatively measured receptor abundance for each hFc $\gamma$ R-expressing cell line to account for this potential source of variation in binding. This revealed twenty-fold variation in the amount of each hFc $\gamma$ R expressed (Fig. 1B). To interpret these measurements, we normalized the amount of binding measured to the amount of hFc $\gamma$ R expressed and plotted each measurement against the measured affinity of the individual hFc $\gamma$ R-hIgG monovalent interaction. We observed a strong correlation between the affinity of the relevant hFc $\gamma$ R-hIgG pair and measured binding, along with a shift toward more binding with increased valency (Fig. 1C–D). By comparing each TNP-26-BSA and TNP-4-BSA measurement, we observed that the valency-dependent change in binding varied with the relevant affinity of the hFc $\gamma$ R-hIgG monovalent interaction (Fig. 1E). Lower affinity interactions were more avidity-dependent, and the measured binding for each IC with low monovalent affinity was too high to be the result of monovalent binding alone. These observations indicated to us that affinity, valency, and receptor expression were all critical to interpreting IC binding.

### A multivalent interaction model accounts for variation in Fc $\gamma$ R-IgG binding

To interpret the complicated variation in binding we observed with each hFc $\gamma$ R-hIgG pair (i.e. affinity), receptor expression, and IC valency, we employed an equilibrium model of multivalent ligand/monovalent receptor binding (Stone et al., 2001). Within the model, an initial binding event occurs with the kinetics of the monovalent interaction (Fig. 2A). Subsequent binding events with the same IC occur with a partition coefficient (crosslinking parameter)  $K_x$  (see Methods). Therefore, values of  $K_x \gg 1$  promote highly multivalent interactions, while  $K_x \ll 1$  result in predominantly monovalent binding.

Treating  $K_x$  as constant across receptor-epitope combinations may be reasonable when dealing with interactions of similar affinity, but clearly breaks down when a wide range of receptor-ligand affinities is involved (Perelson, 1981). For example, for an Fc $\gamma$ R-IgG interaction of barely measurable affinity, one would not expect to see multimeric binding occur with the same partitioning as an extremely high affinity interaction. Most concerning, given the assumption of equilibrium, is that an assumption of constant  $K_x$  violates detailed balance when this model is extended to include multiple receptor species that all bind the same epitope. Therefore, in attempt to resolve these issues, we assume that  $K_x$  is proportional to  $K_d$ , such that there exists a crosslinking coefficient  $K_x^*$  such that  $K_x = K_x^* K_d$ . With this assumption, detailed balance is preserved, and  $K_x$  is reduced when  $K_d$  is very low, as expected (see Methods).

We utilized a Markov chain Monte Carlo simulation to fit this model to our measurements of hFc $\gamma$ R-hIgG binding (Foreman-Mackey et al., 2013). We observed close agreement between measured values and our model's prediction of each condition (Fig. 2B). Both the sample autocorrelation (fig. S1) and the Geweke diagnostic (Fig. 2C) indicated sampling convergence (Geweke, 1991). Inspecting the fit of each parameter revealed that all parameters were well-specified, and that many of the parameter fits closely agreed with prior expectations. The  $K_x^*$  parameter was found to be roughly  $10^{-12}$  (Fig. 2D). For the high-affinity hFc $\gamma$ RI-hIgG1 interaction, this comes out to a crosslinking constant of  $6 \times 10^{-5}$  per cell $^{-1}$ , close to the crosslinking constant of  $1.35 \times 10^{-5}$  per cell $^{-1}$  observed for the high affinity human IgE-hFceR interaction (Hlavacek et al., 1999b). This fit for the crosslinking

coefficient falls within a range that provides differing binding behaviour dependent upon the affinity of the receptor interaction (Fig. 2E). Namely, the predicted binding valency upon a single IC interaction responds to changes in both affinity and receptor expression level within the range experimentally observed. There was a 1.6-fold difference in the fit values of the conversion coefficients that convert the number of TNP-4-BSA and TNP-26-BSA bound to mean fluorescent intensity, with the coefficient for TNP-26-BSA being larger (Fig. 2F). A difference in the IC-to-fluorescence conversion coefficients is expected as IC binding was measured with an anti-TNP fluorescent antibody.

Both TNP-4-BSA and TNP-26-BSA showed a preference toward higher effective valency, TNP-4-BSA significantly so (Fig. 2G). The method for coupling TNP to BSA creates a (likely Poisson) distribution of valencies and so deviation from the average is not surprising. A preference toward higher effective valency than the average is perhaps consistent with our earlier measurements that valency has a potent effect on the level of binding (Fig. 1). That the deviation parameter for the IC binding data,  $\sigma_1^*$ , is fit to a greater value than the standard error of the receptor expression measurements,  $\sigma_2^*$ , is consistent with greater variation in the former measurements (Fig. 2H). We compared  $\sigma_2^*$  to its experimental value by calculating the standard errors of the receptor expression measurements normalized to the means of these measurements, then averaging these values. The resultant number (0.08) was close to and fell within one standard deviation of the predicted value (0.06). Finally, fits of receptor expression closely matched measured levels (Fig. 2I). In total, this demonstrates that a multivalent binding model accurately captures hFc $\gamma$ R-hIgG binding.

### **A binding model provides specific predictions for the coordinate effects of IC abundance, valency, and IgG subclass**

With confidence that an equilibrium binding model can predict Fc $\gamma$ R-IC binding, we sought to apply the model to make predictions about the combined influence of ligand valency and Fc affinity on effector function. We focused on hFc $\gamma$ RIIIA, which is expressed alone within NK cells or alongside hFc $\gamma$ RIIB in dendritic and other cell populations (Lux et al., 2013; Robinette et al., 2015). Predicted binding curves showed a shift left with increased valency, consistent with the avidity-driven shift observed experimentally elsewhere (Ortiz et al., 2016) (Fig. 3A). Examining the number of receptor-receptor crosslinks and the abundance of receptors in multimer complexes showed a strong change with valency, and biphasic concentration dependence (Fig. 3B–C). As a consequence of the differences in behavior between receptor multimerization and binding, increased valency leads to far more oligomerization at a comparable level of receptor binding (Fig. 3B–D). For example, an IC of valency 32 leads to the same amount of receptor oligomerization as the peak for valency two at a 1000-fold lower concentration, and while binding roughly 50-fold less receptor. This emphasizes that valency is an essential factor to consider for enacting effector responses and that measured receptor binding alone cannot predict consequent Fc $\gamma$ R response.

In contrast to NK cells, other innate immune cell types express higher-affinity activating and lower-affinity inhibitory Fc $\gamma$ Rs in combination. Specifically, the inhibitory receptor

mFcγRIIB is known to dampen effector function both endogenously and during a response to exogenous mIgG treatment (Ravetch and Bolland, 2001). We wondered how coordinate expression of an activating and inhibitory receptor would modulate the hFcγR-IC complexes formed. To explore the coordination of heterogeneous receptor species, we extended the model to account for binding to multiple receptors expressed simultaneously on the cell surface. Bound ligand was assumed to partition among these receptors according to its affinity for each (Fig. 3E). We first plotted the abundance of multimerized hFcγRIIIA versus multimerized hFcγRIIB for varied valency and concentration of ICs. This indicated that, at low concentrations, hFcγRIIIA multimerization was higher at all valencies (Fig. 3F). Past a threshold concentration, hFcγRIIIA multimerization plateaued, and hFcγRIIB multimerization accumulated. This effect was observed for all valencies greater than one, only varying in the magnitude of the difference in multimerization, indicating that the effect of the lower affinity inhibitory receptor may modulate the concentration- and valency-dependence only modestly. We additionally explored the influence of hFcγRIIB by defining an activity index (see Methods) as a surrogate measure for effector response. This also showed that inhibitory receptor has minor effects on the dose response relationship with respect to concentration or valency (Fig. 3C vs. G).

Instead, we wondered if an inhibitory receptor plays a larger role on influencing the relative response between IgG subclasses as compared to IC concentration or valency. Indeed, earlier work examining interventions of antibodies with constant variable regions but of differing IgG subclass identified that the ratio of the highest affinity activating receptor  $K_a$  to that of the sole inhibitory receptor (A/I ratio) could predict the influence of each intervention. While this ratio has proven successful across many contexts, we wondered if our model might help identify the reason for this quantity's ability to predict effector response (Bournazos et al., 2014; Mimoto et al., 2013; Nimmerjahn and Ravetch, 2005). By varying the affinity of the activating receptor, we indeed observed a strong relationship between the A/I ratio and activity index over the range of ratios previously examined (Fig. 3H). A second implicit assumption of the A/I ratio is that the highest affinity receptor is the primary activating receptor that influences response. By varying the affinity of each receptor in a four-receptor model, we observed that the highest affinity receptor had the greatest influence on the activity index (see Methods) (Fig. 3I). Indeed, as lower affinity receptors were shifted to have higher affinity than the original highest affinity receptor, the response shifted toward dependence on that receptor.

### **An IgG-FcγR binding model deconvolves *in vivo* function**

We wished to explore whether a multivalent binding model can enable one to reverse engineer effector function *in vivo*. We posited that our modeling approach would allow one to predict the effect of therapeutic interventions involving ICs with defined IgG subclass composition on the effector responses of different cell populations based on their FcγR expression profile. Prior studies investigating treatments for HIV, cancer, and autoimmune dysfunction have utilized antibodies with identical variable regions, while varying other parameters of FcγR engagement, to elucidate the influence of these parameters on effector function (Bournazos et al., 2014; Nimmerjahn and Ravetch, 2005). One finding from these studies is that the relative affinity of an IgG subclass for each FcγR is important to the

resulting response. We hypothesized that a more exact model of Fc $\gamma$ R engagement would more robustly predict effector response (Fig. 4A).

To study *in vivo* effector response, we focused on the manipulations made in one study wherein antibodies against the B16F10 melanoma antigen TRP1 (TA99) were applied to block lung metastasis in C57BL/6 mice (Nimmerjahn and Ravetch, 2005). Using a panel of antibodies with differing constant region but identical antigen binding revealed that an A/I ratio predicted response as observed previously (Fig. 4B). However, we noted that murine IgG2b (mIgG2b) showed divergence from the strong relationship observed with the other antibody constructs. To study this panel of interventions further, we included a number of murine Fc $\gamma$ R (mFc $\gamma$ R) knockout or blocking manipulations by assuming the affinity of the receptor would be zero (Table S1). The A/I ratio cannot exist in the absence of an inhibitory receptor, and so we regressed the log-transformed maximal activating affinity and inhibitory receptor affinity, including knockout receptor conditions, to see whether this information could predict response in the broader panel (Fig. 4C). The highest affinity activating receptor  $K_a$  and inhibitory receptor  $K_b$ , even when treated as separate quantities, poorly predicted response in this larger panel, suggesting that other information is required to predict response within a wider panel of perturbations.

Examining the affinities present with each intervention emphasized that each antibody treatment differs in a multivariate way (Fig. 4D); a single principal component explained only 62% of the variation in affinities across each condition. We hypothesized that, although the A/I ratio captures the dominant variation for smaller changes in antibody binding, the other variation becomes important for more divergent interventions. Using mFc $\gamma$ R measurements for a panel of peripheral immune populations (fig. S2A), we applied our binding model to predict the activity index for each population and intervention, assuming a set valency and ligand concentration (Fig. 4E). Regressing the activity index of each cell population against response showed greater predictive capacity than the A/I ratio within the wider panel of interventions (Fig. 4F). Multiple cell populations, including eosinophils and classical monocytes, were required for predicting response (Fig. 4G). Model prediction was robust to valency and ligand concentration within an order of magnitude (fig. S2B).

The components of the resulting predictive model revealed how the A/I ratio is usually but not always predictive. Each input quantity was scaled by model weighting to indicate the relative contribution of each cell population (Fig. 4E). From this, the divergent response of mIgG2b was elucidated. mIgG2a is predicted to operate prominently through classical monocyte activity by our model (Fig. 4H), consistent with recent findings (Lehmann et al., 2017). In contrast, mIgG2b and mIgG2b-fucose are predicted to operate through both types of monocytes. Finally, our model provides predictions for the efficacy of interventions, such as engineered variants of mIgG2b, with specifically altered mFc $\gamma$ R affinities (Fig. 4I).

## Discussion

A unified multivalent binding model accounting for IC valency and affinity provides a framework for reasoning about how IC binding is affected by changing antibody-antigen binding or constant region composition (Fig. 2). Glycosylation forms and engineered

mutants, in addition to variation in antigen binding, exponentially expand the repertoire of antibody variants possible. The quantitative model presented here provides a robust framework for reasoning about the contributions of each of these variables. Since it would not be feasible to experimentally explore all combinations of these variables, such a model is necessary for knowing which combinations would result in the most effective immunotherapeutic intervention. Further, a unified model for IC engagement may make it possible to consider the effects of antibody combinations in a rational manner.

In addition to enabling rationally designed immunotherapeutic interventions, our model of IC engagement makes it feasible to infer the factors contributing to the *in vivo* efficacy of existing immunotherapies (Fig. 4). In particular, we show through the application of our model to murine immunotherapy data that it can predict the effect of tumor-targeted antibodies better than an affinity ratio alone. This model additionally provides a number of specific, testable predictions: First, even modest reductions in inhibitory Fc $\gamma$ R engagement may drastically increase the efficacy of mIgG2b, but only so long as activating receptor affinities are preserved (Fig. 4I). This ability to predict effector function for each individual cell population, given the kinetics of binding to each Fc $\gamma$ R, will help to explore the complex changes constant region glycosylation confers to antibody function (Jennewein and Alter). Second, our model implicates cMO cells in the ability of TA99 mIgG2a to clear lung metastases. Given recent results showing that tumor location influences the relevant effector cell populations, this suggests that the optimal antibody design may be dependent upon tumor location (Lehmann et al., 2017).

Applying this model can provide focus for future IgG engineering. For example, further development may inform application of antibody combinations of differing class for synergistic effector function. While we lack information about the effective valency of TA99 engagement, more detailed characterization of its antibody binding might enable coordinate rational design of antibody class and antigen valency. Our model is dependent upon Fc $\gamma$ R abundance and the quantitative relationship between binding state and cell response; therefore, further refinement of where these receptors are expressed and how they sense IC engagement will improve our ability to study the *in vivo* environment. In particular, effector function is a complex mix of processes, including ADCC, ADCP, and CDC. More detailed information about the activity of each of these processes with each treatment would allow for more narrowly targeted design. The versatility of antibody-based therapies ensure broad applicability of this approach to many diseases in which IgG effector function plays a key role, including the design of therapeutic antibodies for the treatment of infectious diseases, autoimmune disorders, and other cancers.

More generally, these results demonstrate the ability of molecular models linked to data-driven inference to deconvolve *in vivo* function. Due to the baffling complexity of the immune system, model-driven design is vital to the advancement of rationally designed immunotherapies. Like Fc receptors, many innate immune receptors are extensively characterized in their interactions and knockout effects, and yet operate through combinatorial complexity due to diversity in protein species. As binding profiles and signaling mechanisms of these receptors become better quantified, model-driven design will prove to be a powerful vehicle for further immune engineering advancement.

## STAR Methods

### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Aaron S. Meyer (ameyer@asmlab.org).

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

All cell lines used in binding studies are derivatives of the classical female (ovarian) cell line CHO derived from Chinese Hamster *Cricetulus griseus* in 1957 by TT Puck. Confirmation of FcγR expression and exclusion of cross-contamination with other FcγR expressing CHO cell lines was repeatedly performed during cell culture by flow cytometry. For binding studies, only cell lines exclusively expressing >90% of the expected FcγR were used.

Animal Experimentation in this work (i.e. blood sampling without any previous experimental treatment) was carried out with notification of the animal welfare officer of the University of Erlangen Nürnberg, the district veterinary office and the government of Central Franconia and approval by the relevant district veterinary office in accordance with ethical standards and relevant legislation in Germany and the European Union (Notification Reference number TS-13/14).

*Mus musculus* inbred C57Bl/6-J females, 8–12 weeks of age were used for Fc receptor quantification. The strain represents an immuno-competent disease free standard laboratory inbred strain. Mice were kept under IVC conditions with food and water *ad libitum* and a 12 hrs day/night rhythm and received humane care in accordance with ethical standards and relevant legislation in Germany and the European Union. Inbreeding was performed by the commercial supplier (Janvier Laboratories). Animals were test naïve and had not been involved in previous experimental procedures.

### METHOD DETAILS

**Immune complex binding measurement**—IC binding to hFcγRs was analyzed using Chinese hamster ovarian (CHO) cells stably expressing hFcγRs as previously described (Lux et al., 2013). Receptor expression was quantified using antibodies against each hFcγR and flow cytometry measurement as previously described (Lux et al., 2013). Briefly, ICs were generated by coincubation of 10 μg/ml anti-TNP hIgG and 5 μg/ml TNP-coupled BSA for 3 h with gentle shaking at room temperature. ICs were incubated with 100,000 CHO cells stably expressing hFcγRs for 1 h under gentle shaking at 4°C. Bound ICs were detected by flow cytometry using a PE-conjugated goat anti-human IgG F(ab')<sub>2</sub> fragment at 0.5 mg/ml (Jackson ImmunoResearch Laboratories). Each IC binding measurement was normalized to the average of all the points within that replicate. Receptor expression was quantified in terms of absolute number through comparison to fluorescence standards (QSC microspheres, Bangs Labs). Data were analyzed with Flow Cytometry Analysis Software (FlowJo) or FACSDiva Software.

**Fc $\gamma$ R abundance measurement for primary cells**—Fc $\gamma$ R abundance was measured by flow cytometry from peripheral blood leukocytes of female C57Bl/6J mice under steady state conditions.

After erythrocyte lysis of anti-coagulated blood, cells were stained with antibodies to enable identification of cell types and for quantification of Fc $\gamma$  receptors as listed in the Supplementary Information. Prior to addition of the staining antibodies cells were blocked with anti-Fc $\gamma$ R antibodies to avoid unspecific binding to Fc receptors. Samples for quantification of Fc $\gamma$ R4 were blocked with anti-Fc $\gamma$ R2b/3 antibody clone 2.4G2. Samples for quantification of mFc $\gamma$ RI, mFc $\gamma$ RIIb or mFc $\gamma$ RIII were blocked with anti-Fc $\gamma$ RIV antibody clone 9E9, since Fc $\gamma$ RIV receptor has been shown to be a potential cause for unspecific binding of certain antibody isotypes in flow cytometry (Biburger et al., 2015).

A typical cell identification strategy was as follows: Cell aggregates were excluded by their forward light scatter (FSC) characteristics (area vs. height) and dead cells based on their DAPI staining. Leukocytes were identified by expression of common leukocyte marker CD45. Among those lymphocytes and myeloid cells were gated based on low side scatter (SSC) characteristics and absence of the Ly6G marker of neutrophilic granulocytes. NK cells were identified by low to intermediate CD11b expression together with expression of NK marker NK1.1. Among the highly CD11b-positive but NK1.1-negative cells, CD11b<sup>high</sup> CD62L<sup>high</sup> Gr-1<sup>high</sup> classical and CD11b<sup>high</sup> CD62L<sup>low</sup> Gr-1<sup>low</sup> non-classical monocytes were distinguished based on their differential expression of Gr-1 and in most experiments additionally CD62L. Among the granulocytes with high SSC and CD11b expression, eosinophils were identified by their very high SSC, low FSC and absence of Ly6G, whereas neutrophils were characterized by intermediately high side and forward scatter and presence of the neutrophil marker Ly6G.

Surface receptor was quantitated by measuring antibody binding capacity (ABC) for antibodies specific for the respective Fc $\gamma$  receptor. Calculation of ABC on cells is based on a reference curve for the correlation between fluorescence intensity (caused by the respective anti-Fc $\gamma$ R antibody) and the number of antibody binding sites using a group of beads with known ABC values, reflecting their ability to bind a known amount of antibody. These curves were established in each experiment for all tested anti-Fc $\gamma$ R antibodies, using Quantum Simply Cellular (QSC) anti-mouse or anti-rat beads (Bangs Laboratories Ltd.)—depending on the host species of the respective anti-Fc $\gamma$ R antibody—according to manufacturer's instructions. Anti-Fc $\gamma$ R4 antibody 9E9 is derived from Armenian hamster but was found to be efficiently bound by the anti-mouse QSC beads. Yet, in most experiments these beads were pre-coated with mouse anti-hamster moieties prior to staining with 9E9.

All anti-Fc $\gamma$ R antibodies used for Fc quantification were used as conjugates with R-PE. They were either purchased pre-labelled or were conjugated in-house. In each experiment QSC microspheres are stained with the respective anti-Fc $\gamma$ R antibody in the same concentration as it was used for cell staining. To allow subtraction of ABC-background accounting for background fluorescence of the cells, FMO (“fluorescence-minus-one”) controls were used in each experiment, where cells were stained with all antibodies for cell

type identification but without anti-Fc $\gamma$ R antibody. Flow cytometric analysis was done on a FACS Canto II (BD Biosciences, Heidelberg) and data were analyzed with FACSDiva Software (BD). For ABC calculation we used the QuickCal software provided by Bangs Laboratories. Fc $\gamma$ Rs with average abundances less than  $10^3$  per cell were considered absent; in every case this determination was consistent with measurements in samples from knockout animals.

## QUANTIFICATION AND STATISTICAL ANALYSIS

IgG-Fc $\gamma$ R binding measurements were performed across all pairs of IgG and Fc $\gamma$ R in four independent experimental replicates across independent passages on separate days. Cell line Fc $\gamma$ R expression was measured in four independent experiments on separate passages and days. Primary cell Fc $\gamma$ R expression data were measured across 3–5 animals in separate experiments. Each measurement with these data were analyzed individually according to the assumptions detailed below.

***In vivo* regression**—Regression against *in vivo* effectiveness of mIgG treatments was performed by non-linear least-squares (`scipy.optimize.least_squares`). Association constants for all combinations of mIgG and mFc $\gamma$ R were obtained from previous experimental measurements (Gavin et al., 1998; Robinette et al., 2015). Each effectiveness was represented as the percent reduction in the number of lung metastases quantified (Nimmerjahn and Ravetch, 2005). Using an assumed ligand concentration and valency, as well as mFc $\gamma$ R expression, activities of each cell population were calculated using the multi-receptor model as independent variables. To account for the limited range of this quantity (e.g. one cannot have a reduction of 200%), the regression was transformed by  $\tanh$  such that the predicted effectiveness:  $y = \tanh(X \cdot p)$  so that  $\lim_{x \rightarrow \infty} y(x) = 1$ .  $X$  is the predicted mFc $\gamma$ R activity for each cell line according to our model, and  $p$  is the regression weights.

**Principle component analysis of mIgG-mFc $\gamma$ R affinities**—Principle component analysis was performed using scikit-learn and the affinities of the four mIgGs (mIgG1, mIgG2a, mIgG2b, mIgG3), with or without knockout treatments, for each of four receptors (mFc $\gamma$ RI, mFc $\gamma$ RIIB, mFc $\gamma$ RIII, mFc $\gamma$ RIV). Association constants for all combinations of mIgG and mFc $\gamma$ R were obtained from previous experimental measurements (Bruhns et al., 2009). The affinity for a knocked-out or blocked mFc $\gamma$ R was assumed to be zero. The affinities were not centered or variance-scaled before PCA transformation.

### Model

**Base model:** TNP-BSA equilibrium binding to Fc $\gamma$ Rs was modeled using a two-parameter equilibrium model of multivalent ligands binding to monovalent receptors expressed uniformly on a cell surface (Perelson and DeLisi, 1980; Stone et al., 2001). This model assumes that the IC effectively presents a single kind of epitope and that the cell expresses exactly one receptor species that recognizes the epitope. The model also assumes an excess of ligand, such that ligand concentration is effectively constant. Within the model, the initial binding of an IC to the cell is assumed to occur according to a monovalent binding interaction governed by the individual binding site association constant  $K_a$ . Once a ligand is bound to the cell surface by one receptor, all subsequent binding occurs through crosslinking

events with equilibrium partitioning  $K_x$ , in which an unbound epitope on the ligand binds to a free receptor on the cell surface.  $K_x$  serves as the association constant for all crosslinking interactions. According to the model, the number of ligands bound  $i$ -valently to the cell at equilibrium,  $v_{i,eq}$ , can be found using the relation

$$v_{i,eq} = \binom{f}{i} (K_x)^{i-1} L_0 K_a (R_{eq})^i. \quad (1)$$

Here,  $f$  is the effective avidity of the ligands,  $K_x$  is a crosslinking parameter with units of # per cell,  $L_0$  is the ligand concentration, and  $R_{eq}$  is the number of unbound receptors per cell at equilibrium. Consequently, the total number of ligands bound at equilibrium is

$$L_{bound} = \sum_{i=1}^f v_{i,eq} = \sum_{i=1}^f \binom{f}{i} (K_x)^{i-1} L_0 K_a (R_{eq})^i. \quad (2)$$

$R_{eq}$  changes as a function of  $f$ ,  $L_0$ ,  $K_a$ ,  $K_x$ , and  $R_{tot}$  the total number of receptors expressed on the cell surface. It can be solved for numerically using the relationship

$$R_{tot} = R_{eq} \left( 1 + f L_0 K_a (1 + K_x R_{eq})^{f-1} \right) \quad (3)$$

when these parameters are known. As a consequence of eq. 1, the number of receptors that are clustered with at least one other receptor at equilibrium ( $R_{multi}$ ) is equal to

$$R_{multi} = \sum_{i=2}^f i v_{i,eq}. \quad (4)$$

**Specification for  $K_x$ :** We represented  $K_x$  for any given crosslinking interaction as the product of  $K_a$ , the affinity of the epitope being bound for the receptor species to which it binds, and a crosslinking coefficient,  $K_x^*$ , that is uniform for all combinations of Fc $\gamma$ R and IgG. For any given crosslinking interaction between an epitope-receptor pair with affinity  $K_a$ ,  $K_x = K_x^* K_a$ . As a consequence of this construction,  $K_x$  becomes zero in the absence of binding and satisfies detailed balance.

**Parameters and assumptions:** Association constants for all combinations of hIgG and hFc $\gamma$ R were obtained from previous experimental measurements (Bruhns et al., 2009). In each replicate of the binding assay, cells were coincubated with 5  $\mu$ g/ml TNP-4-BSA or TNP-26-BSA. Because the molar masses of 2,4,6-trinitrophenyl groups and of BSA are approximately 173 Da and 66 kDa, respectively, we represented the molar concentrations of

TNP-4-BSA and TNP-26-BSA as 74 nM and 70 nM (Lux et al., 2013). We also assumed that there were two different conversion factors for TNP-4-BSA and TNP-26-BSA between the number of ICs bound and the MFIs measured in the assay, due to IC detection occurring through TNP quantitation. Lastly, we assumed that, due to steric effects, the effective and average valence of TNP-4-BSA and (especially) TNP-26-BSA might be different. This required us to fit the following eleven parameters: the total expression level  $R_{tot}$  for each hFc $\gamma$ R,  $K_x^*$ , conversion factors from ligands bound to MFI measured for both TNPBSAs, and effective valencies for both TNP-BSAs ( $f_4$  and  $f_{26}$ , respectively). We specified a prior distribution for each ligand effective valency equal to a Poisson distribution with rate parameter equal to the average valency. Each other parameter was specified with an unbounded, log-uniform prior.

**Model fitting and deviation parameters:** The likelihood for each combination of predicted values for  $K_x^*$ , the two conversion factors,  $f_4$ , and  $f_{26}$  for each hFc $\gamma$ R-hIgG-TNP-BSA combination was calculated by comparison of our experimental data to a normal distribution with mean equal to our model's predicted binding and standard deviation equal to the predicted binding times a fit standard deviation parameter  $\sigma_1^*$ .

In addition to IC binding, the receptor expression of each cell line was quantitatively measured. We assumed that the receptor expression measurements were log-normally distributed, with the standard deviation of the log-normal distribution being proportional to the common logarithm of the actual expression. We fit a second standard deviation parameter,  $\sigma_2^*$ , such that the likelihood of each receptor measurement was calculated using a normal distribution with mean equal to the common logarithm of the predicted receptor expression and standard deviation equal to  $\sigma_2^*$ . The overall likelihood of the model at each parameter set was calculated as the product of all individual likelihoods. The prior for each fitted parameter was specified to be log-uniform and unbounded.

We fit our model to binding measurements for each hFc $\gamma$ R-hIgG pair using an affine invariant Markov chain Monte Carlo sampler as implemented within the emcee package (Foreman-Mackey et al., 2013). We assayed the convergence of the Markov chain using the Geweke diagnostic and chain autocorrelation (Geweke, 1991). The Geweke diagnostic was used to determine whether early and late segments of the Markov chain could have been sampled from the same probability distribution. Each walker's series of values for a particular parameter was treated as a single chain, upon which the diagnostic was evaluated.

**Generalized Multi-Receptor Model:** To account for cells expressing multiple Fc $\gamma$ Rs, we extended the model to account for binding in the presence of multiple receptors. At each crosslinking step,  $K_x$  must be proportional to the  $K_a$  of the corresponding monovalent epitope-receptor interaction to satisfy detailed balance. For any cell expressing  $N$  distinct receptor species that all bind the same epitope, let  $R_{tot,i}$  be the total number of receptors  $i$  per cell expressed on the cell surface, and let  $K_{a,i}$  be the affinity of receptor  $i$  for the epitope. Let IC, ligands, and  $K_x^*$  be as previously described (see Base Model). For all  $i$  in  $\{1, 2, \dots, N\}$ , let

$\phi_i = K_x^* K_{a,i} R_{eq,i}$ , where  $R_{eq,i}$  is the number of receptors  $i$  per cell unbound at equilibrium. The individual IC-receptor interactions of an IC, with effective avidity  $f$ , bound to  $q_i$  receptors  $i$ ,  $q_j$  receptors  $j$ , etc. (per cell) can be represented by the vector  $\mathbf{q} = (q_1, q_2, \dots, q_{N+1})$ , where  $q_{N+1}$  is equal to the number of unbound epitope on the IC. For any such vector describing the binding state of an IC-receptor complex, the number of ICs bound in such a way at equilibrium is equal to

$$v_{\mathbf{q}, eq} = \binom{f}{\mathbf{q}} \frac{L_0}{K_x^*} \prod_{i=1}^N (\phi_i)^{q_i},$$

where  $\binom{f}{\mathbf{q}}$  represents the multinomial coefficient  $\binom{f}{q_1, q_2, \dots, q_{N+1}}$ . Therefore, for all receptors  $i$ , we have that  $R_{eq,i}$  satisfies the relation

$$R_{tot,i} = R_{eq,i} + \sum_{\mathbf{q} \in \mathbf{Q}_{f,N}} \binom{f}{\mathbf{q}} \frac{L_0}{K_x^*} (\phi_i)^{q_i},$$

where

$$\mathbf{Q}_{f,N} \equiv \{(q_1, q_2, \dots, q_N, f - \sum_{i=1}^N q_i) \in \mathbb{N}^{N+1} \mid \sum_{i=1}^N q_i \leq f\}.$$

For our analysis,  $R_{eq,i}$  was solved for for all  $i$  by iterative root-finding using this relation, utilizing the Brent routine (scipy.optimize.brent). Consequently, the total number of ligands bound at equilibrium is

$$L_{bound} = \sum_{\mathbf{q} \in \mathbf{Q}_{f,N}} |\mathbf{q}| v_{\mathbf{q}, eq}.$$

The number of receptor  $i$  that are multimerized at equilibrium can be calculated as

$$R_{multi,i} = \sum_{\mathbf{q} \in \mathbf{Q}_{f,N}^*} |\mathbf{q}| v_{\mathbf{q}, eq}$$

where  $|\mathbf{q}| = \sum_{i=1}^N q_i$  and

$$\mathbf{Q}_{f,N}^* \equiv \{(q_1, q_2, \dots, q_N, f - \sum_{i=1}^N q_i) \in \mathbb{N}^{N+1} \mid 2 \leq \sum_{i=1}^N q_i \leq f\}.$$

**Activity Index:** To account for the combined effects of activating, inhibitory, and decoy receptors, we defined an activity index. To do so, we defined the activity index as being the dot product of the vector  $v$ , or number of multimerized receptors of each receptor species, and  $w$ , the activity of each receptor species. Activating receptors were given an activity of 1, decoy receptors 0, and inhibitory receptors  $-1$ . Multimerization states that resulted in activities of less than 0 were set to 0. This definition satisfied our expectations that activity increases with a greater number of activating receptors, decreases with more inhibitory receptors, and does not change with variation in the number of decoy receptors.

## DATA AND SOFTWARE AVAILABILITY

All data and analysis source code can be found at <https://github.com/meyer-lab/FcgR-binding>.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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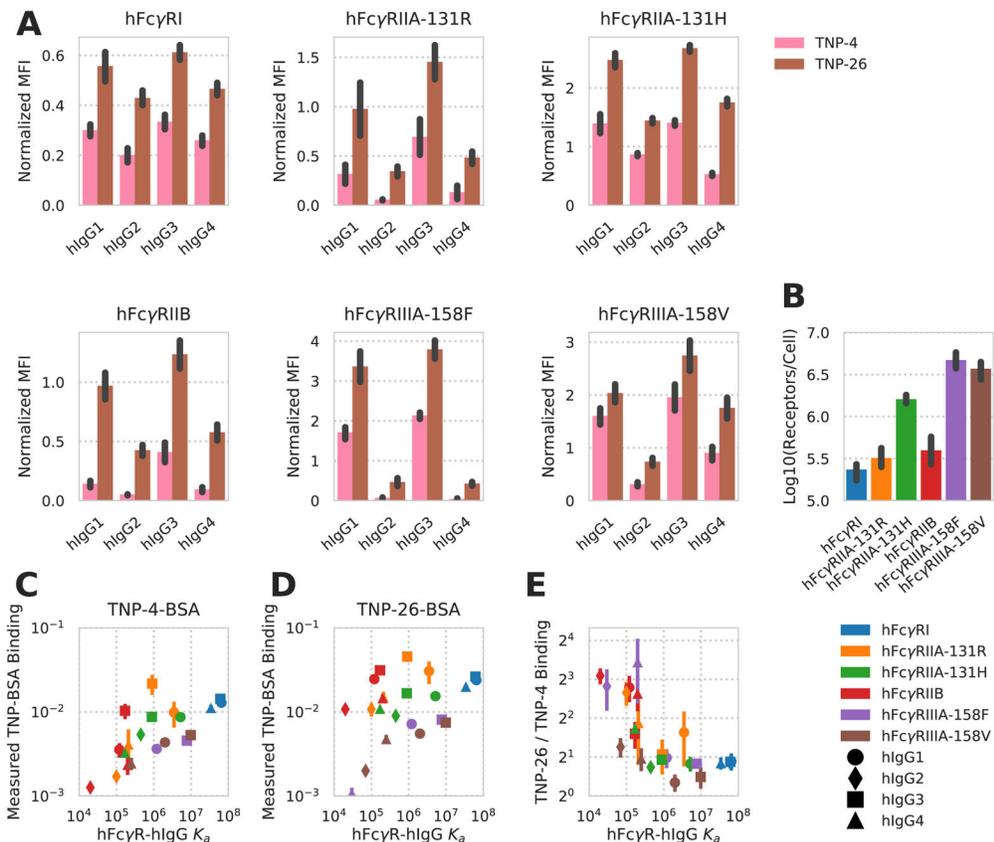
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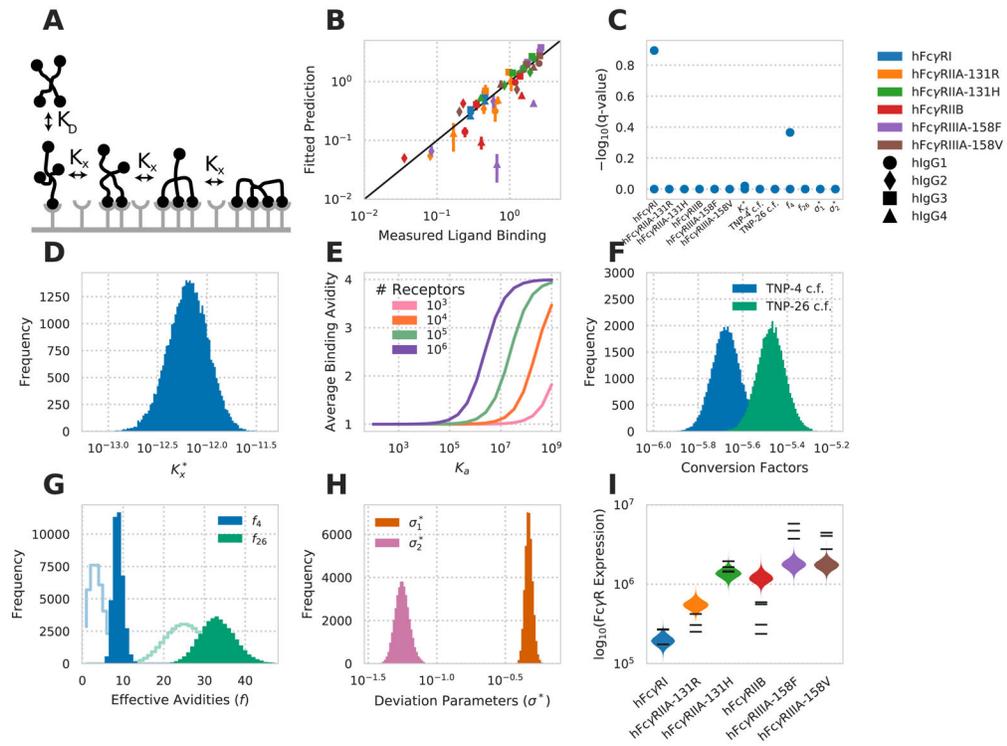
### Highlights

- Avidity most prominently modulates low-affinity Fc $\gamma$ R-immune complex binding
- A multivalent binding model can quantitatively predict Fc $\gamma$ R-immune complex binding
- Immune complex valency effects Fc $\gamma$ R multimerization more than binding
- A binding model predicts the outcome of *in vivo* Fc $\gamma$ R-driven effector function



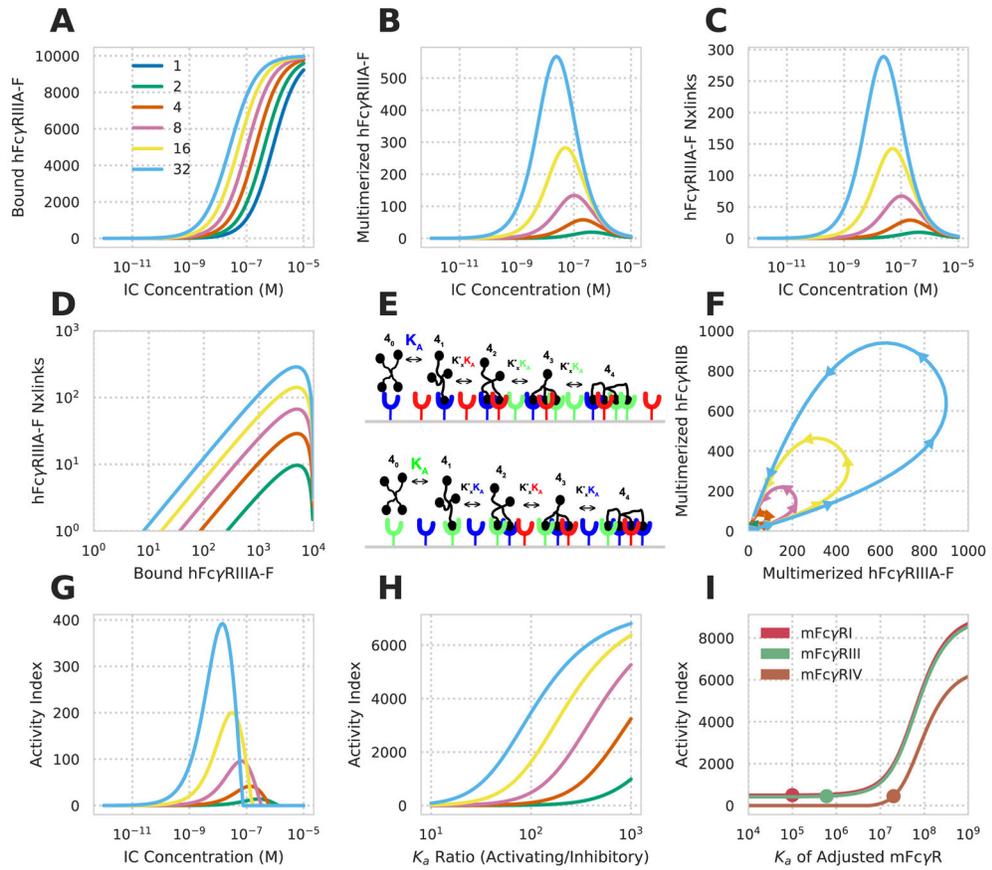
**Figure 1. Human FcγR binding changes with FcγR-IgG pair and valency**

A) Quantification of hlgG subclass TNP-4-BSA and TNP-26-BSA IC binding to CHO cells expressing the indicated hFcγRs (N = 4). Background binding of the ICs to CHO cells expressing no hFcγR was subtracted from the mean fluorescence intensity (MFI) obtained from binding to CHO cells expressing individual hFcγRs. Each IC binding measurement was further normalized by dividing by the average of all the points within that replicate. B) Receptor expression quantification for each CHO cell line expressing a single hFcγR subclass. C–D) Measured TNP-4-BSA-IC (C) and TNP-26-BSA-IC (D) binding, normalized to the receptor expression within each CHO cell line, as a function of the measured hFcγR-hlgG subclass affinity (Bruhns et al., 2009). E) Fold increase in TNP-26-BSA binding over TNP-4-BSA binding as a function of the measured hFcγR-hlgG subclass affinity. All error bars are standard error of biological replicates (N = 4). Derived quantities use error propagated from each value.

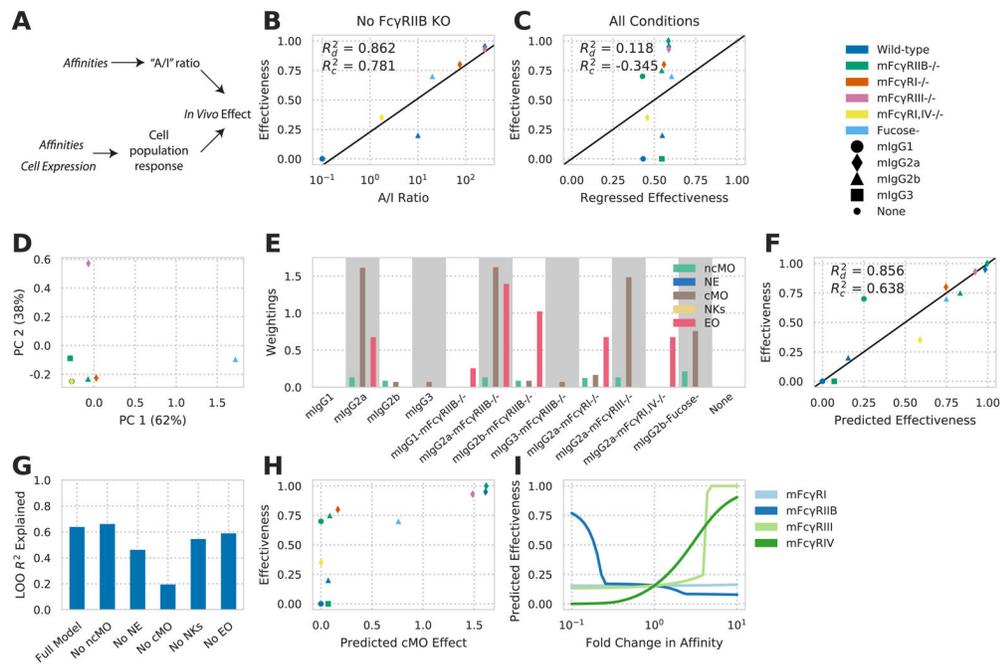


**Figure 2. A multivalent binding model accounts for IgG-Fc $\gamma$ R binding**

A) Schematic of the multivalent binding model for interaction of an IC with a single species of hFc $\gamma$ R. B) Predicted versus measured binding for each hFc $\gamma$ R-hIgG pair at each valency. C) Geweke convergence criterion for each walker of the MCMC chain. A significant p-value would indicate failed convergence. D) Marginal distribution for the crosslinking constant  $K_x^*$ . E) Average binding valency predicted for a single interaction between a cell and an IC of valency four, versus monovalent binding affinity at varied receptor expression levels. F) Marginal distribution for the constants to convert IC binding to normalized MFI. G) Marginal distribution for the effective valencies of TNP-4-BSA and TNP-26-BSA. Prior shown as line. H) Marginal distribution for each distribution spread parameter. I) The marginal distributions for receptor expression within each cell line expressing a single hFc $\gamma$ R subtype. Experimental measurements of receptor expression (Fig. 1B) are individually overlaid. See also Figure S1.



**Figure 3. Specific predictions for the coordinate effects of IC parameters**  
 A–C) Predicted hFcγRIIIA-F-hIgG1 binding (A), multimerized receptor (B), and number of receptor crosslinks (C) versus IC concentration at varied valencies (colors). D) The amount of receptor bound versus number of crosslinks for varied valency. E) Schematic of the multivalent binding model for interaction of an IC with multiple species of FcγR. An individual IC can interact with a heterogeneous mix of receptors according to their affinities. The effective association constant for any crosslinking step,  $K_x$ , is proportional to affinity. F) The predicted amount of multimerized receptor at various valencies for a cell expressing hFcγRIIIA-F and hFcγRIIB simultaneously when hIgG1-IC concentration is varied from 1 pM to 10 μM (beginning and ending near the origin). G) The calculated activity index (see Methods) for the conditions in F. H) Change in the activity index versus the A/I ratio for variations in hFcγRIIIA-F affinity responding to 1 nM hIgG1-ICs. I) Change in the activity index upon varying the affinity of mFcγRI, mFcγRIII, and mFcγRIV simultaneously expressed along with mFcγRIIB responding to 1 nM mIgG2b-ICs at a valency of 5. Dot indicates the affinity of the receptor when not varied. Activity index increased by 50 at all values of  $K_a$  for mFcγRI to make its curve visible.



**Figure 4. An FcγR-IgG binding model deconvolves in vivo function**

A) Schematic of earlier IgG subclass experiments (top) and our approach (bottom). B) Effectiveness (proportional reduction in lung metastases) of individual mIgG interventions versus the A/I ratio for each mIgG constant region. Effectiveness is the fractional reduction in lung metastases observed with treatment throughout (e.g. no reduction is 0.0, while a full reduction in metastases is 1.0). C) Predicted versus regressed effectiveness for mIgG interventions upon mFcγR knockout using the maximal activating mFcγR affinity and inhibitory mFcγR affinity. D) Principal components analysis of the relevant affinities within each condition of mIgG treatment along with mFcγR knockout. Both axes scaled by a factor of  $10^{-8}$ . E) Individual quantities calculated for each intervention using receptor multimerization predicted by multivalent binding model and the activity index. Each quantity is scaled according to the weighting applied by the fitted regression model. F) Effectiveness predicted by the multivalent binding model, quantified by activity index, versus observed effectiveness. G) Leave-one-out model prediction  $R^2$  with individual input components removed. H) Calculated activity index for cMO versus overall effectiveness of each intervention. I) Predicted effect of modulating each individual mFcγR affinity of mIgG2b.  $R_d^2$  and  $R_c^2$  represent coefficient of determination of predicted versus actual directly or upon leave-one-out crossvalidation, respectively. See also Figure S2 and Table S1.